

Summary of our Whistleblower Policy



The BGC Whistleblower Policy:

- supports and reflects our values;
- ensures that individuals who disclose wrongdoing can do so safely, securely, and with confidence that they will be protected and supported;
- deters wrongdoing, and encourage disclosures of wrongdoing; and
- meets BGC's legal and regulatory obligations.

Who can make a report?

Any current or former:

- Officers and employees of BGC;
- Suppliers, consultants and contractors of BGC (including their employees);
- Associates of BGC; and
- Family members, spouses and dependants of any of the above individuals

What information can be reported?

Any information about BGC (or an officer or employee of BGC) that you have reasonable grounds to believe concerns misconduct, or an improper state of affairs or circumstances in relation to BGC.

For example:

- Conduct that is in breach of the law
- Fraud, corruption, bribery or tax evasion
- Illegal conduct such as theft, violence or damage to property
- A breach of BGC's policies
- Conduct that is a danger to health and safety at BGC
- An unauthorised disclosure of confidential information
- Conduct that represents a danger to the public of the financial system
- Conduct that is likely to damage BGC's reputation



How can I make a report?

The easiest way to make a report is to call the FairCall Whistleblowing Hotline number

1800 500 965

Other reporting methods

- Fax: +61 2 9335 7466;
- Email faircall@kpmg.com.au;
- Go online to www.kpmgfaircall.kpmg.com.au/BGC;
- Mail the FairCall Manager, c/- KPMG, 300 Barangaroo Ave, Barangaroo, NSW 2000

Protections for whistleblowers

An individual who makes a report in accordance with the BGC Whistleblower Policy:

- Has the right to remain anonymous;
- Will be protected from retaliation because they have made the report; and
- May be able to access other legal protections.

*If you require a copy of the whistleblower policy, please send an e-mail request to corporate@bgcaus.com.au